



Attorney's Docket No. 42P14879

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
Johnson ) Examiner: Menefee, James A.  
Application No.: 10/082,597 ) Art Unit: 2828  
Filed: February 22, 2002 )  
For: TUNABLE LASER WITH )  
MAGNETICALLY COUPLED FILTER )

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Commissioner for Patents  
P.O. Box 1450  
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Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

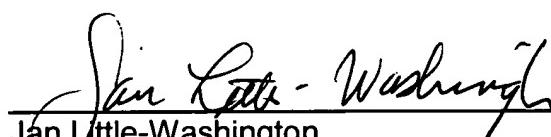
- XX      37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11/15/2006

  
\_\_\_\_\_  
Jan Little-Washington  
Reg. No. 41,181

12400 Wilshire Blvd.  
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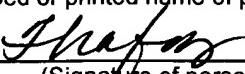
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Substitute for Form 1449/PTO

**Complete if Known**

Application Number	10/082,597
Filing Date	February 22, 2002
First Named Inventor:	Johnson
Art Unit	2828
Examiner Name	James A. Menefee

NOV 20 2006

PATENT & TRADEMARK OFFICE  
Sheet**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of

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Attorney Docket Number 42P14879

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1.	us- 3,788,743	01-29-1974	George	
	2.	us- 3,899,748	08-12-1975	Bodlaj	
	3.	us- 3,921,099	11-18-1975	Abrams et al.	
	4.	us- 3,965,440	06-22-1976	Graves	
	5.	us- 4,410,992	10-18-1983	Javan	
	6.	us- 4,460,977	07-17-1984	Shimada et al.	
	7.	us- 4,730,105	03-08-1988	Mitschke et al.	
	8.	us- 4,847,854	07-11-1989	Van Dijk et al.	
	9.	us- 4,934,816	06-19-1990	Silver et al.	
	10.	us- 5,022,745	06-11-1991	Zaykowski et al.	
	11.	us- 5,124,993	06-23-1992	Braunlich et al.	
	12.	us- 5,163,063	11-10-1992	Yoshikawa et al.	
	13.	us- 5,181,214	01-19-1993	Berger et al.	
	14.	us- 5,222,070	06-22-1993	Niki	
	15.	us- 5,245,626	09-14-1993	Burke et al.	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Sheet 2

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16.	us-	5,251,222	10-05-1993	Hester et al.	
17.	us-	5,289,491	02-22-1994	Dixon	
18.	us-	5,349,439	09-20-1994	Graindorge et al.	
19.	us-	5,412,676	05-02-1995	Schnier et al.	
20.	us-	5,414,280	05-09-1995	Girmay	
21.	us-	5,418,800	05-23-1995	Prior et al.	
22.	us-	5,444,724	08-22-1995	Goto	
23.	us-	5,673,129	09-30-1997	Mizrahi	
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25.	us-	5,777,773	07-07-1998	Epworth et al.	
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30.	us-	5,943,352	08-24-1999	Fee	

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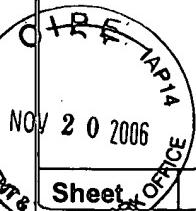
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STATEMENT BY APPLICANT**

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Sheet 3 of 6

<b>Complete if Known</b>	
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Filing Date	February 22, 2002
First Named Inventor:	Johnson
Art Unit	2828
Examiner Name	James A. Menefee
Attorney Docket Number	42P14879

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		Number-Kind Code <sup>2</sup> (if known)				
	31.	us-	6,018,535	01-25-2000	Maeda	
	32.	us-	6,034,799	03-07-2000	Hansen	
	33.	us-	6,040,950	03-21-2000	Broome	
	34.	us-	6,044,095	03-28-2000	Asano et al.	
	35.	us-	6,064,501	05-16-2000	Roberts et al.	
	36.	us-	6,081,539	06-27-2000	Mattori et al.	
	37.	us-	6,115,121	09-05-2000	Erskine	
	38.	us-	6,151,337	11-21-2000	Carlsten et al.	
	39.	us-	6,181,717 B1	01-30-2001	Kner et al.	
	40.	us-	6,192,058 B1	02-20-2001	Abeles	
	41.	us-	6,201,638 B1	03-13-2001	Hall et al.	
	42.	us-	6,205,159 B1	03-20-2001	Sesko et al.	
	43.	us-	6,229,835 B1	05-08-2001	Tomaru et al.	
	44.	us-	6,233,045 B1	05-15-2001	Suni et al.	
	45.	us-	6,249,365 B1	06-19-2001	Mizrahi et al.	

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Sheet 4 of 6

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	46.	us- 6,259,712 B1	07-10-2001	DeCain et al.	
	47.	us- 6,263,004 B1	07-17-2001	Arvidsson et al.	
	48.	us- 6,301,280 B1	10-09-2001	Broutin et al.	
	49.	us- 6,337,660 B1	01-08-2002	Esman et al.	
	50.	us- 6,366,592 B1	04-02-2002	Flanders	
	51.	us- 6,366,689 B1	04-02-2002	Rao et al.	
	52.	us- 6,404,538 B1	06-11-2002	Chen et al.	
	53.	us- 6,441,933 B1	08-27-2002	Jang	
	54.	us- 6,470,036 B1	10-22-2002	Bailey et al.	
	55.	us- 6,532,091 B1	03-11-2003	Miyazaki et al.	
	56.	us- 6,658,031 B2	12-02-2003	Tuganov et al.	
	57.	us- 6,724,797 B2	04-02-2004	Daiber	
	58.	us- 6,804,278 B2	10-12-2004	Daiber et al.	
	59.	us- 2002/0048297 A1	04-25-2002	Irie et al.	
	60.	us- 2002/0126345 A1	09-12-2002	Green et al.	
	61.	us- 2002/0136104 A1	09-26-2002	Daiber	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	62.	WO 98/05105	02-05-1998	The Perkin-Elmer Corporation		
	63.	EP 0 849 846 A2	06-24-1998	Ando Electric Co., Ltd.		
	64.	WO 00/49689	08-24-2000	New Focus, Inc.		
	65.	WO 01/04999 A1	01-18-2001	Cyoptics, Ltd.		
	66.	WO 02/21414 A1	03-14-2002	Xanboo, Inc.		

Examiner Signature	Date Considered
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	67.	WO 02/078137 A1	10-03-2002	New Focus, Inc.		
	68.	WO 03/005500 A2	01-16-2003	New Focus, Inc.		
	69.	WO 03/005501 A2	01-16-2003	New Focus, Inc.		
	70.	WO 03/005512 A2	01-16-2003	New Focus, Inc.		

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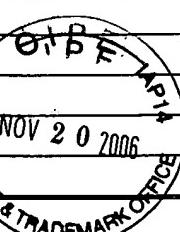
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Examiner Name	James A. Menefee



## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	71.	ANDALKAR, A., et al., "Improved External Cavity Design for Cesium D1 (894 nm) Diode Laser," Review of Scientific Instruments, 2000 American Institute of Physics, November 2000, vol. 71, no. 11, pp. 4029-4031.	
	72.	ICHIHASHI, Y., et al., "130 GHz Frequency Sweep Over a 30 nm Tuning Range Without Mode Hopping by an External-Cavity Semiconductor Laser," IEICE Transactions on Communications, June 1992, Tokyo, Japan, vol. E75-B, no. 6, pp. 521-523.	
	73.	KETELSEN, L.J.P., "Simple Technique for Measuring Cavity Loss in Semiconductor Lasers," Electronics Letters, August 18, 1994, vol. 30, no. 17, pp. 1422-1423.	
	74.	SHTENGEL, G.E., et al., "Internal Optical Loss Measurements in 1.3 μ m InGaAsP Lasers," Electronics Letters, July 6, 1995, vol. 31, no. 14, pp. 1157-1159.	

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